

FR Y-14A: Regulatory Capital Transitions Cover Sheet

Institution Name:

RSSD ID:

As of Date (MM/DD/YY):

Submission Date (MM/DD/YY):

Please indicate the scenario associated with this submission using the following drop-down menu:

Supervisory Baseline

Please describe the baseline scenario associated with this submission. It should be consistent with that used for other capital plan baseline projections.

Please refer to Regulatory Capital Transitions section of the "Instructions for the Capital Assessments and Stress Testing information collection" when completing this schedule.

Instructions

1. Please complete the FR Y-14A Regulatory Capital Transitions Schedule using **actual data** for as of date, and **projected data** for the periods PY 1 through PY 5. For all projections, please use the baseline scenario as specified in the worksheet "CoverSheet."
2. Instructions for completing the schedule are contained in Regulatory Capital Transitions section of the "Instructions for the Capital Assessments and Stress Testing information collection."
3. All data should be populated within the non-shaded cells in all worksheets. Cells highlighted in grey have embedded formulas and therefore will be automatically populated.
4. BHCs should ensure that the version of Microsoft Excel they use to complete the schedule is set to automatically calculate formulas. This is achieved by setting "Calculation Options" (under the Formulas function) to "Automatic" within the settings for Microsoft Excel.

FR Y-14A Schedule D.1 - Capital Composition

FR Y-14A - Regulatory Capital Transitions Schedule: (Supervisory Baseline Scenario)

Capital Composition	FR Y-9C Schedule HC-R (Part I.B.) reference	Actual in \$Millions as of date	Projected in \$Millions				
			PY 1	PY 2	PY 3	PY 4	PY 5
1 AOCI opt-out election? (enter "1" for Yes; enter "0" for No)	bhcap838	<input type="text"/>					
Common equity tier 1 capital							
2 Common stock and related surplus (net of treasury stock and unearned employee stock ownership plan [ESOP] shares)	bhcaP742						
3 Retained earnings	bhct3247						
4 Accumulated other comprehensive income (AOCI)	bhcaB530						
5 Common equity tier 1 minority interest includable in common equity tier 1 capital	bhcap839						
6 Common equity tier 1 before adjustments and deductions (sum of items 2 through 5)	bhca840	-	-	-	-	-	-
Common equity tier 1 capital: adjustments and deductions							
7 Goodwill, net of associated deferred tax liabilities (DTLs)	bhcap841						
8 Intangible assets (other than goodwill and mortgage servicing assets (MSAs)), net of associated DTLs	bhcap842						
9 Deferred tax assets (DTAs) that arise from net operating loss and tax credit carryforwards, net of any related valuation allowances and net of DTLs	bhcap843						
If Item 1 is “1” for “Yes”, complete items 10 through 14 only for AOCI related adjustments.							
10 AOCI related adjustments: Net unrealized gains (losses) on available-for-sale securities (if a gain, report as a positive value; if a loss, report as a negative value)	bhcap844						
11 AOCI related adjustments: Net unrealized loss on available-for-sale preferred stock classified as an equity security under GAAP and available-for-sale equity exposures (report loss as a positive value)	bhcap845						
12 AOCI related adjustments: Accumulated net gains (losses) on cash flow hedges (if a gain, report as a positive value; if a loss, report as a negative value)	bhcap846						
13 AOCI related adjustments: Amounts recorded in AOCI attributed to defined benefit postretirement plans resulting from the initial and subsequent application of the relevant GAAP standards that pertain to such plans (if a gain, report as a positive value; if a loss, report as a negative value)	bhcap847						
14 AOCI related adjustments: Net unrealized gains (losses) on held-to-maturity securities that are included in AOCI (if a gain, report as a positive value; if a loss, report as a negative value)	bhcap848						
If Item 1 is “0” for “No”, complete item 15 only for AOCI related adjustments.							
15 AOCI related adjustments: Accumulated net gain (loss) on cash flow hedges included in AOCI, net of applicable tax effects, that relate to the hedging of items that are not recognized at fair value on the balance sheet (if a gain, report as a positive value; if a loss, report as a negative value)	bhcap849						
16 Other deductions from (additions to) common equity tier capital 1 before threshold-based deductions: Unrealized net gain (loss) related to changes in the fair value of liabilities that are due to changes in own credit risk (if a gain, report as a positive value; if a loss, report as a negative value)	bhcaq258						
17 Other deductions from (additions to) common equity tier capital 1 before threshold-based deductions: All other deductions from (additions to) common equity tier 1 capital before threshold-based deductions	bhcap850						
18 Non-significant investments in the capital of unconsolidated financial institutions in the form of common stock that exceed the 10 percent threshold for non-significant investments	bhcap851						
19 Subtotal (item 6 minus items 7 through 18)	bhcap852	-	-	-	-	-	-
20 Significant investments in the capital of unconsolidated financial institutions in the form of common stock, net of associated DTLs, that exceed the 10 percent common equity tier 1 capital deduction threshold (from the Exceptions Bucket Calc tab)	bhcap853	-	-	-	-	-	-
21 MSAs, net of associated DTLs, that exceed the 10 percent common equity tier 1 capital deduction threshold (from the Exceptions Bucket Calc tab)	bhcap854	-	-	-	-	-	-
22 DTAs arising from temporary differences that could not be realized through net operating loss carrybacks, net of related valuation allowances and net of DTLs, that exceed the 10 percent common equity tier 1 capital deduction threshold (from the Exceptions Bucket Calc tab)	bhcap855	-	-	-	-	-	-
23 Amount of significant investments in the capital of unconsolidated financial institutions in the form of common stock; MSAs, net of associated DTLs; and DTAs arising from temporary differences that could not be realized through net operating loss carrybacks, net of related valuation allowances and net of DTLs; that exceeds the 15 percent common equity tier 1 capital deduction threshold (from the Exceptions Bucket Calc tab)	bhcap856	-	-	-	-	-	-
24 Deductions applied to common equity tier 1 capital due to insufficient amount of additional tier 1 capital and tier 2 capital to cover deductions	bhcap857						
25 Total adjustments and deductions for common equity tier 1 capital (sum of items 20 through 24)	bhcap858	-	-	-	-	-	-
26 Common equity tier 1 capital (item 19 minus item 25)	bhcap859	-	-	-	-	-	-

FR Y-14A Schedule D.1 - Capital Composition

Capital Composition	FR Y-9C Schedule HC-R (Part I.B.) reference	Actual in \$Millions as of date	Projected in \$Millions				
			PY 1	PY 2	PY 3	PY 4	PY 5
Additional tier 1 capital							
27 Additional tier 1 capital instruments plus related surplus	bhcap860						
28 Tier 1 minority interest not included in common equity tier 1 capital	bhcap862						
29 Additional tier 1 capital before deductions (sum of items 27 through 28)	bhcap863	-	-	-	-	-	
30 Additional tier 1 capital deductions	bhcap864						
31 Additional tier 1 capital (greater of item 29 minus item 30 or zero)	bhcap865	-	-	-	-	-	
Tier 1 capital							
32 Tier 1 capital (sum of items 26 and 31)	bhca8274	-	-	-	-	-	
Other (reflect all items on a year-to-date basis)							
33 Issuance of common stock (including conversion to common stock)							
34 Repurchases of common stock							
35 Net income (loss) attributable to bank holding company	bhck4340						
36 Cash dividends declared on preferred stock	bhck4598						
37 Cash dividends declared on common stock	bhck4460						
38 Previously issued tier 1 capital instruments (excluding minority interest) that would no longer qualify (please report 100% value)							
39 Previously issued tier 1 minority interest that would no longer qualify (please report 100% value)							
Data Completeness Check							
40 If "No", please complete all non-shaded cells until all cells to the right say "Yes." Do not leave cells blank; enter "0" if not applicable.		No	No	No	No	No	

FR Y-14A Schedule D.2 - Exceptions Bucket Calculator

FR Y-14A - Regulatory Capital Transitions Schedule: (Supervisory Baseline Scenario)

"Exceptions Bucket" Calculator		Actual in \$Millions as of date	PY 1	PY 2	Projected in \$Millions		PY 3	PY 4	PY 5
Significant investments in the capital of unconsolidated financial institutions in the form of common stock									
1	Gross significant investments in the capital of unconsolidated financial institutions in the form of common stock								
2	Permitted offsetting short positions in relation to the specific gross holdings included above								
3	Significant investments in the capital of unconsolidated financial institutions in the form of common stock net of short positions (greater of item 1 minus 2 or zero)	-	-	-	-	-	-	-	-
4	10 percent common equity tier 1 deduction threshold (10 percent of item 19 in the Capital Composition tab)	-	-	-	-	-	-	-	-
5	Amount to be deducted from common equity tier 1 due to 10 percent deduction threshold (greater of item 3 minus 10 percent of item 4 or zero)	-	-	-	-	-	-	-	-
Mortgage servicing assets									
6	Total mortgage servicing assets classified as intangible								
7	Associated deferred tax liabilities which would be extinguished if the intangible becomes impaired or derecognized under the relevant accounting standards								
8	Mortgage servicing assets net of related deferred tax liabilities (item 6 minus item 7)	-	-	-	-	-	-	-	-
9	10 percent common equity tier 1 deduction threshold (10 percent of item 19 in the Capital Composition tab)	-	-	-	-	-	-	-	-
10	Amount to be deducted from common equity tier 1 due to 10 percent deduction threshold (greater of item 8 minus 10 percent of item 9 or zero)	-	-	-	-	-	-	-	-
Deferred tax assets due to temporary differences									
11	DTAs arising from temporary differences that could not be realized through net operating loss carrybacks, net of related valuation allowances and net of DTLs								
12	10 percent common equity tier 1 deduction threshold (10 percent of item 19 in the Capital Composition tab)	-	-	-	-	-	-	-	-
13	Amount to be deducted from common equity tier 1 due to 10 percent deduction threshold (greater of item 11 minus 10 percent of item 12 or zero)	-	-	-	-	-	-	-	-
Aggregate of items subject To the 15% limit (significant investments, mortgage servicing assets and deferred tax assets arising from temporary differences)									
14	Sum of items 3, 8, and 11	-	-	-	-	-	-	-	-
15	15 percent common equity tier 1 deduction threshold (item 19 in the Capital Composition tab minus item 14, multiplied by 17.65 percent)	-	-	-	-	-	-	-	-
16	Sum of items 5, 10, and 13	-	-	-	-	-	-	-	-
17	Item 14 minus item 16	-	-	-	-	-	-	-	-
18	Amount to be deducted from common equity tier 1 due to 15 percent deduction threshold (greater of item 17 minus item 15 or zero)	-	-	-	-	-	-	-	-
Data Completeness Check									
19	If "No", please complete all non-shaded cells until all cells to the right say "Yes." Do not leave cells blank; enter "0" if not applicable.	No	No	No	No	No	No	No	No

FR Y-14A Schedule D.3 - Advanced Risk-Weighted Assets

FR Y-14A - Regulatory Capital Transitions Schedule: (Supervisory Baseline Scenario)

			Actual in \$Millions	Projected in \$Millions				
Risk-weighted Assets-Advanced ^{1, 2}		FFIEC 101 reference	as of date	PY 1	PY 2	PY 3	PY 4	PY 5
Advanced Approaches Credit Risk (Including CCR and non-trading credit risk), with 1.06 scaling factor where applicable								
1	Credit RWA			-	-	-	-	-
2	Wholesale Exposures			-	-	-	-	-
3	Corporate	AABGJ124						
4	Bank	AABGJ125						
5	Sovereign	AABGJ126						
6	IPRE	AABGJ127						
7	HVCRE	AABGJ128						
8	Counterparty Credit Risk			-	-	-	-	-
9	Eligible margin loans, repostyle transactions and OTC derivatives with crossproduct netting—EAD adjustment method	AABGJ129						
10	Eligible margin loans, repostyle transactions and OTC derivatives with crossproduct netting—collateral reflected in LGD	AABGJ130						
11	Eligible margin loans, repostyle transactions—no cross-product netting—EAD adjustment method	AABGJ131						
12	Eligible margin loans, repostyle transactions—no cross-product netting—collateral reflected in LGD	AABGJ132						
13	OTC derivatives—no cross-product netting—EAD adjustment method	AABGJ133						
14	OTC derivatives—no crossproduct netting—collateral reflected in LGD	AABGJ134						
15	Retail Exposures			-	-	-	-	-
16	Residential mortgage— closed-end first lien exposures	AABGJ135						
17	Residential mortgage— closed-end junior lien exposures	AABGJ136						
18	Residential mortgage—revolving exposures	AABGJ137						
19	Qualifying revolving exposures	AABGJ138						
20	Other retail exposures	AABGJ139						
21	Securitization Exposures			-	-	-	-	-
22	Subject to supervisory formula approach (SFA)	AABG J142						
23	Subject to simplified supervisory formula approach (SSFA)	AABG P920						
24	Subject to 1,250% risk-weight	AABG P921						
25	Cleared Transactions			-	-	-	-	-
26	Derivative contracts and netting sets to derivatives	AABG P922						
27	Repo-style transactions	AABG P923						
28	Default fund contributions	AABG P924						
		Sum of AABGJ144,						
29	Equity Exposures	AABGJ145,AABGJ146						
		Sum of AABGJ147,						
30	Other Assets	AABGJ148, AABGJ149						
31	CVA Capital Charge (risk-weighted asset equivalent)			-	-	-	-	-
32	Advanced CVA Approach	AABG P926		-	-	-	-	-
33	Unstressed VaR with Multipliers							
34	Stressed VaR with Multipliers							
35	Simple CVA Approach	AABG P925						
Advanced Approaches Operational Risk								
36	Operational RWA	AABGJ154						

FR Y-14A Schedule D.3 - Advanced Risk-Weighted Assets

Risk-weighted Assets-Advanced ^{1, 2}		Actual in \$Millions as of date	Projected in \$Millions				
		FFIEC 101 reference	PY 1	PY 2	PY 3	PY 4	PY 5
Market Risk							
37	Market RWA	AABG J153	-	-	-	-	-
38	Value-at-risk (VAR)-based capital requirement						
39	Stressed VAR-based capital requirement						
40	Incremental Risk Charge (IRC)						
41	Correlation Trading						
42	Comprehensive Risk Measurement (CRM), Before Application of Surcharge						
43	8% of Advanced Measurement Method (100%) for Exposures Subject to CRM		-	-	-	-	-
44	CRM Floor Based on 100% of Advanced - Net Long						
45	CRM Floor Based on 100% of Advanced - Net Short						
46	Non-modeled Securitization						
47	Specific risk add-on (excluding securitization and correlation)		-	-	-	-	-
48	Debt						
49	Equity						
50	Other market risk						
51	Assets subject to the general risk-based capital requirements	AABGJ198					
52	Other RWA						
53	Excess eligible credit reserves not included in tier 2 capital	AABGJ152					
54	Total RWA		-	-	-	-	-
Data Completeness Check							
55	If "No", please complete all non-shaded cells until all cells to the right say "Yes." Do not leave cells blank; enter "0" if not applicable.		No	No	No	No	No

Footnotes:

¹ Amounts calculated as capital requirements should be converted to risk-weighted assets by multiplying by 12.5.

² Any assets deducted from capital should not be included in risk-weighted assets.

FR Y-14A Schedule D.4 - Standardized Risk-Weighted Assets

FR Y-14A - Regulatory Capital Transitions Schedule: (Supervisory Baseline Scenario)

Risk-weighted Assets-Standardized ^{1, 2}		Actual in \$Millions as of date	PY 1	Projected in \$Millions			
				PY 2	PY 3	PY 4	PY 5
Standardized Approach Credit Risk							
1	Cash and balances due from depository institutions						
2a	Securities (excluding securitizations): Held-to-maturity						
2b	Securities (excluding securitizations): Available-for-sale						
3	Federal funds sold						
Loans and leases on held for sale							
4a	Residential Mortgage exposures						
4b	High Volatility Commercial Real Estate (HVCRE) exposures						
4c	Exposures past due 90 days or more or on nonaccrual						
4d	All other exposures						
Loans and leases, net of unearned income							
5a	Residential mortgage exposures						
5b	High Volatility Commercial Real Estate (HVCRE) exposures						
5c	Exposures past due 90 days or more or on nonaccrual						
5d	All other exposures						
6	Trading assets (excluding securitizations that receive standardized charges)						
7a	All other assets						
7b	Separate account bank-owned life insurance						
7c	Default fund contributions to central counterparties						
On-balance Sheet Securitization exposures							
8a	Held-to-maturity securities						
8b	Available-for-sale securities						
8c	Trading assets that receive standardized charges						
8d	All other on-balance sheet securitization exposures						
9	Off-balance sheet securitization exposures						
10	RWA for Balance Sheet Asset Categories (sum of items 1 though 8d)	-	-	-	-	-	-

FR Y-14A Schedule D.4 - Standardized Risk-Weighted Assets

Risk-weighted Assets-Standardized ^{1, 2}		Actual in \$Millions as of date	PY 1	Projected in \$Millions			
				PY 2	PY 3	PY 4	PY 5
Derivatives and Off-Balance-Sheet Items RWA							
11	Financial standby letters of credit						
12	Performance standby letters of credit and transaction related contingent items						
13	Commercial and similar letters of credit with an original maturity of one year or less						
14	Retained recourse on small business obligations sold with recourse						
15	Repo-style transactions						
16	All other off-balance sheet liabilities						
17a	Unused commitments: Original maturity of one year or less, excluding ABCP conduits						
17b	Unused commitments: Original maturity of one year or less to ABCP conduits						
17c	Unused commitments: Original maturity exceeding one year						
18	Unconditionally cancelable commitments						
19	Over-the-counter derivatives						
20	Centrally cleared derivatives						
21	Unsettled transactions (failed trades)						
22	RWA for Assets, Derivatives and Off-Balance-Sheet Asset Categories (sum of items 9 through 21)	-	-	-	-	-	-
23	RWA for purposes of calculating the allowance for loan and lease losses 1.25 percent threshold						
Market Risk							
35	Market RWA	-	-	-	-	-	-
36	Value-at-risk (VAR)-based capital requirement						
37	Stressed VAR-based capital requirement						
38	Incremental Risk Charge (IRC)						
39	Correlation Trading						
40	Comprehensive Risk Measurement (CRM), Before Application of Surcharge						
41	Standardized Measurement Method (100%) for Exposures Subject to CRM	-	-	-	-	-	-
42	CRM Floor Based on 100% of Standardized - Net Long						
43	CRM Floor Based on 100% of Standardized - Net Short						
44	Non-modeled Securitization						
45	Specific risk add-on (excluding securitization and correlation)	-	-	-	-	-	-
46	Debt						
47	Equity						
48	Other market risk						
49	Excess allowance for loan and lease losses						
50	Allocated transfer risk reserve						

FR Y-14A Schedule D.4 - Standardized Risk-Weighted Assets

Risk-weighted Assets-Standardized ^{1, 2}		Actual in \$Millions as of date	PY 1	Projected in \$Millions				PY 2	PY 3	PY 4	PY 5
51	Total RWA	-	-	-	-	-	-	-	-	-	-
Data Completeness Check											
52	If "No", please complete all non-shaded cells until all cells to the right say "Yes." Do not leave cells blank; enter "0" if not applicable.	No	No	No	No	No	No	No	No	No	No

Footnotes:

¹ Amounts calculated as capital requirements should be converted to risk-weighted assets by multiplying by 12.5.

² Any assets deducted from capital should not be included in risk-weighted assets.

FR Y-14A Schedule D.5 - Leverage Exposure

FR Y-14A - Regulatory Capital Transitions Schedule: (Supervisory Baseline Scenario)

Leverage Exposure (quarterly averages)		Actual in \$Millions as of date	PY 1	PY 2	Projected in \$Millions PY 3PY 4PY 5	
Leverage Exposure for Tier 1 Leverage Ratio (Applicable to All BHCs)						
1	Average total consolidated assets					
2	LESS: Deductions from common equity tier 1 capital and additional tier 1 capital (report as a positive value)					
3	LESS: Other Deductions from (Additions to) Assets for Leverage Ratio Purposes (report as a positive value)					
4	Total assets for the leverage ratio (item 1 less the sum of items 2 and items 3)					
Leverage Exposure for Supplementary Leverage Ratio (Applicable to Advanced Approaches BHCs Only)						
On-balance sheet exposures						
	On-balance sheet assets (excluding on-balance sheet assets for repo-style transactions and derivative exposures, but including cash collateral received in derivative transactions)					
5	LESS: Deductions from common equity tier 1 capital and additional tier 1 capital (report as a positive value)					
6	Total on-balance sheet exposures (excluding on-balance sheet assets for repo-style transactions and derivative exposures, but including cash collateral received in derivative transactions) (item 5 less item 6)					
Derivative exposures						
8	Replacement cost for derivative exposures (net of cash variation margin)					
9	Add-on amounts for potential future exposure (PFE) for derivatives exposures					
10	Gross-up for cash collateral posted if deducted from the on-balance sheet assets, except for cash variation margin					
11	LESS: Deductions of receivable assets for cash variation margin posted in derivatives transactions, if included in on-balance sheet assets (report as a positive value)					
12	LESS: Exempted CCP leg of client-cleared transactions (report as a positive value)					
13	Effective notional principal amount of sold credit protection					
14	LESS: Effective notional principal amount offsets and PFE adjustments for sold credit protection (report as a positive value)					
15	Total derivative exposures (sum of items 8, 9, 10 and 13, minus items 11, 12, and 14)					
Repo-style transactions						
16	On-balance sheet assets for repo-style transactions					
17	LESS: Reduction of the gross value of receivables in reverse repurchase transactions by cash payables in repurchase transactions under netting agreements (report as a positive value)					
18	Counterparty credit risk for all repo-style transactions					
19	Exposure for repo-style transactions where a banking organization acts as an agent					
20	Total exposures for repo-style transactions (sum of items 16, 18, and 19 minus item 17)					
Other off-balance sheet exposures						
21	Off-balance sheet exposures at gross notional amounts					
22	LESS: Adjustments for conversion to credit equivalent amounts (report as a positive value)					
23	Off-balance sheet exposures (item 21 less items 22)					
Capital and total leverage exposures						
24	Total leverage exposure (sum of items 7, 15, 20 and 23)					
Data Completeness Check						
25	Total Assets for Tier 1 Leverage Ratio (applicable to all BHCs): If "No", please complete all non-shaded cells until all cells to the right say "Yes." Do not leave cells blank; enter "0" if not applicable.	No	No	No	No	No
26	Total Leverage Exposure for Supplementary Leverage Ratio (applicable to advanced approaches banking organizations): If "No", please complete all non-shaded cells until all cells to the right say "Yes." Do not leave cells blank; enter "0" if not applicable.	No	No	No	No	No

FR Y-14A Schedule D.6 - Planned Actions

FR Y-14A - Regulatory Capital Transitions Schedule: (Supervisory Baseline Scenario)

Planned Actions

Action #	Description	Action Type	Exposure Type	RWA Type		
					Total Leverage Exposure for Supplementary Leverage Ratio	Balance Sheet Impact
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FR Y-14A Schedule D.6 - Planned Actions

FR Y-14A - Regulatory Capital Transitions Schedule: (Supervisory Baseline Scenario)

Planned Actions

Action #	Description	Action Type	Exposure Type	RWA Type		
					Total Leverage Exposure for Supplementary Leverage Ratio	Balance Sheet Impact
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FR Y-14A Schedule D.6 - Planned Actions

FR Y-14A - Regulatory Capital Transitions Schedule: (Supervisory Baseline Scenario)

Planned Actions

Action #	Description	Action Type	Exposure Type	RWA Type		
					Total Leverage Exposure for Supplementary Leverage Ratio	Balance Sheet Impact
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FR Y-14A Schedule D.6 - Planned Actions

FR Y-14A - Regulatory Capital Transitions Schedule: (Supervisory Baseline Scenario)

Planned Actions

Action #	Description	Action Type	Exposure Type	RWA Type		
					Total Leverage Exposure for Supplementary Leverage Ratio	Balance Sheet Impact
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FR Y-14A Schedule D.6 - Planned Actions

FR Y-14A - Regulatory Capital Transitions Schedule: (Supervisory Baseline Scenario)

Planned Actions

Action #	Description	Action Type	Exposure Type	RWA Type		
					Total Leverage Exposure for Supplementary Leverage Ratio	Balance Sheet Impact
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FR Y-14A Schedule D.6 - Planned Actions

FR Y-14A - Regulatory Capital Transitions Schedule: (Supervisory Baseline Scenario)

Planned Actions

Action #	Description	Action Type	Exposure Type	RWA Type	Total				
					Common Equity Tier 1	Tier 1	RWA_Standardized	RWA_Advanced	Total Assets for Leverage Ratio
1					-	-	-	-	-
2					-	-	-	-	-
3					-	-	-	-	-
4					-	-	-	-	-
5					-	-	-	-	-
6					-	-	-	-	-
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8					-	-	-	-	-
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10					-	-	-	-	-
11					-	-	-	-	-
12					-	-	-	-	-
13					-	-	-	-	-
14					-	-	-	-	-
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17					-	-	-	-	-
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21					-	-	-	-	-
22					-	-	-	-	-
23					-	-	-	-	-
24					-	-	-	-	-
25					-	-	-	-	-
26					-	-	-	-	-
27					-	-	-	-	-
28					-	-	-	-	-
29					-	-	-	-	-
30					-	-	-	-	-
31					-	-	-	-	-
32					-	-	-	-	-
33					-	-	-	-	-
34					-	-	-	-	-
35					-	-	-	-	-
36					-	-	-	-	-
37					-	-	-	-	-
38					-	-	-	-	-
39					-	-	-	-	-

FR Y-14A Schedule D.6 - Planned Actions

FR Y-14A - Regulatory Capital Transitions Schedule: (Supervisory Baseline Scenario)

Planned Actions

Action #	Description	Action Type	Exposure Type	RWA Type			Confirm detailed description of action provided in separate attachment
					Total Leverage Exposure for Supplementary Leverage Ratio	Balance Sheet Impact	
1					-	-	
2					-	-	
3					-	-	
4					-	-	
5					-	-	
6					-	-	
7					-	-	
8					-	-	
9					-	-	
10					-	-	
11					-	-	
12					-	-	
13					-	-	
14					-	-	
15					-	-	
16					-	-	
17					-	-	
18					-	-	
19					-	-	
20					-	-	
21					-	-	
22					-	-	
23					-	-	
24					-	-	
25					-	-	
26					-	-	
27					-	-	
28					-	-	
29					-	-	
30					-	-	
31					-	-	
32					-	-	
33					-	-	
34					-	-	
35					-	-	
36					-	-	
37					-	-	
38					-	-	
39					-	-	

FR Y-14A Schedule D.6 - Planned Actions

Planned Actions						
Action #	Description	Action Type	Exposure Type	RWA Type		
					Total Leverage Exposure for Supplementary Leverage Ratio	Balance Sheet Impact
40						
41						
42						
43						
44						
45						
46						
47						
48						
49						
50						
51						
52						
53						
54						
55						
56						
57						
58						
59						
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79						
80						

FR Y-14A Schedule D.6 - Planned Actions

Planned Actions						
Action #	Description	Action Type	Exposure Type	RWA Type		
					Total Leverage Exposure for Supplementary Leverage Ratio	Balance Sheet Impact
40						
41						
42						
43						
44						
45						
46						
47						
48						
49						
50						
51						
52						
53						
54						
55						
56						
57						
58						
59						
60						
61						
62						
63						
64						
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67						
68						
69						
70						
71						
72						
73						
74						
75						
76						
77						
78						
79						
80						

FR Y-14A Schedule D.6 - Planned Actions

Planned Actions						
Action #	Description	Action Type	Exposure Type	RWA Type		
					Total Leverage Exposure for Supplementary Leverage Ratio	Balance Sheet Impact
40						
41						
42						
43						
44						
45						
46						
47						
48						
49						
50						
51						
52						
53						
54						
55						
56						
57						
58						
59						
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66						
67						
68						
69						
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72						
73						
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75						
76						
77						
78						
79						
80						

FR Y-14A Schedule D.6 - Planned Actions

Planned Actions						
Action #	Description	Action Type	Exposure Type	RWA Type		
					Total Leverage Exposure for Supplementary Leverage Ratio	Balance Sheet Impact
40						
41						
42						
43						
44						
45						
46						
47						
48						
49						
50						
51						
52						
53						
54						
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56						
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62						
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71						
72						
73						
74						
75						
76						
77						
78						
79						
80						

FR Y-14A Schedule D.6 - Planned Actions

Planned Actions						
Action #	Description	Action Type	Exposure Type	RWA Type		
					Total Leverage Exposure for Supplementary Leverage Ratio	Balance Sheet Impact
40						
41						
42						
43						
44						
45						
46						
47						
48						
49						
50						
51						
52						
53						
54						
55						
56						
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66						
67						
68						
69						
70						
71						
72						
73						
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75						
76						
77						
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79						
80						

FR Y-14A Schedule D.6 - Planned Actions

Planned Actions									
Action #	Description	Action Type	Exposure Type	RWA Type	Total				
					Common Equity Tier 1	Tier 1	RWA_Standardized	RWA_Advanced	Total Assets for Leverage Ratio
40					-	-	-	-	-
41					-	-	-	-	-
42					-	-	-	-	-
43					-	-	-	-	-
44					-	-	-	-	-
45					-	-	-	-	-
46					-	-	-	-	-
47					-	-	-	-	-
48					-	-	-	-	-
49					-	-	-	-	-
50					-	-	-	-	-
51					-	-	-	-	-
52					-	-	-	-	-
53					-	-	-	-	-
54					-	-	-	-	-
55					-	-	-	-	-
56					-	-	-	-	-
57					-	-	-	-	-
58					-	-	-	-	-
59					-	-	-	-	-
60					-	-	-	-	-
61					-	-	-	-	-
62					-	-	-	-	-
63					-	-	-	-	-
64					-	-	-	-	-
65					-	-	-	-	-
66					-	-	-	-	-
67					-	-	-	-	-
68					-	-	-	-	-
69					-	-	-	-	-
70					-	-	-	-	-
71					-	-	-	-	-
72					-	-	-	-	-
73					-	-	-	-	-
74					-	-	-	-	-
75					-	-	-	-	-
76					-	-	-	-	-
77					-	-	-	-	-
78					-	-	-	-	-
79					-	-	-	-	-
80					-	-	-	-	-

FR Y-14A Schedule D.6 - Planned Actions

Planned Actions							
Action #	Description	Action Type	Exposure Type	RWA Type			Confirm detailed description of action provided in separate attachment
					Total Leverage Exposure for Supplementary Leverage Ratio	Balance Sheet Impact	
40					-	-	
41					-	-	
42					-	-	
43					-	-	
44					-	-	
45					-	-	
46					-	-	
47					-	-	
48					-	-	
49					-	-	
50					-	-	
51					-	-	
52					-	-	
53					-	-	
54					-	-	
55					-	-	
56					-	-	
57					-	-	
58					-	-	
59					-	-	
60					-	-	
61					-	-	
62					-	-	
63					-	-	
64					-	-	
65					-	-	
66					-	-	
67					-	-	
68					-	-	
69					-	-	
70					-	-	
71					-	-	
72					-	-	
73					-	-	
74					-	-	
75					-	-	
76					-	-	
77					-	-	
78					-	-	
79					-	-	
80					-	-	

FR Y-14A Schedule D.6 - Planned Actions

Planned Actions									
Action #	Description	Action Type	Exposure Type	RWA Type	PY 1				
					Common Equity Tier 1	Tier 1	RWA_Standardized	RWA_Advanced	Total Assets for Leverage Ratio
81									
82									
83									
84									
85									
86									
87									
88									
89									
90									
91									
92									
93									
94									
95									
96									
97									
98									
99									
100									
Total impact of planned actions					-	-	-	-	-
Reported changes from prior period									

FR Y-14A Schedule D.6 - Planned Actions

Planned Actions						
Action #	Description	Action Type	Exposure Type	RWA Type		
					Total Leverage Exposure for Supplementary Leverage Ratio	Balance Sheet Impact
81						
82						
83						
84						
85						
86						
87						
88						
89						
90						
91						
92						
93						
94						
95						
96						
97						
98						
99						
100						

Total impact of planned actions	-	-
Reported changes from prior period		

FR Y-14A Schedule D.6 - Planned Actions

Planned Actions									
Action #	Description	Action Type	Exposure Type	RWA Type	PY 2				
					Common Equity Tier 1	Tier 1	RWA_Standardized	RWA_Advanced	Total Assets for Leverage Ratio
81									
82									
83									
84									
85									
86									
87									
88									
89									
90									
91									
92									
93									
94									
95									
96									
97									
98									
99									
100									
Total impact of planned actions					-	-	-	-	-
Reported changes from prior period									

FR Y-14A Schedule D.6 - Planned Actions

Planned Actions						
Action #	Description	Action Type	Exposure Type	RWA Type		
					Total Leverage Exposure for Supplementary Leverage Ratio	Balance Sheet Impact
81						
82						
83						
84						
85						
86						
87						
88						
89						
90						
91						
92						
93						
94						
95						
96						
97						
98						
99						
100						

Total impact of planned actions	-	-
Reported changes from prior period		

FR Y-14A Schedule D.6 - Planned Actions

Planned Actions									
Action #	Description	Action Type	Exposure Type	RWA Type	PY 3				
					Common Equity Tier 1	Tier 1	RWA_Standardized	RWA_Advanced	Total Assets for Leverage Ratio
81									
82									
83									
84									
85									
86									
87									
88									
89									
90									
91									
92									
93									
94									
95									
96									
97									
98									
99									
100									
Total impact of planned actions					-	-	-	-	-
Reported changes from prior period									

FR Y-14A Schedule D.6 - Planned Actions

Planned Actions						
Action #	Description	Action Type	Exposure Type	RWA Type		
					Total Leverage Exposure for Supplementary Leverage Ratio	Balance Sheet Impact
81						
82						
83						
84						
85						
86						
87						
88						
89						
90						
91						
92						
93						
94						
95						
96						
97						
98						
99						
100						

Total impact of planned actions	-	-
Reported changes from prior period		

FR Y-14A Schedule D.6 - Planned Actions

Planned Actions									
Action #	Description	Action Type	Exposure Type	RWA Type	PY 4				
					Common Equity Tier 1	Tier 1	RWA_Standardized	RWA_Advanced	Total Assets for Leverage Ratio
81									
82									
83									
84									
85									
86									
87									
88									
89									
90									
91									
92									
93									
94									
95									
96									
97									
98									
99									
100									
Total impact of planned actions					-	-	-	-	-
Reported changes from prior period									

FR Y-14A Schedule D.6 - Planned Actions

Planned Actions						
Action #	Description	Action Type	Exposure Type	RWA Type		
					Total Leverage Exposure for Supplementary Leverage Ratio	Balance Sheet Impact
81						
82						
83						
84						
85						
86						
87						
88						
89						
90						
91						
92						
93						
94						
95						
96						
97						
98						
99						
100						

Total impact of planned actions	-	-
Reported changes from prior period		

FR Y-14A Schedule D.6 - Planned Actions

Planned Actions									
Action #	Description	Action Type	Exposure Type	RWA Type	PY 5				
					Common Equity Tier 1	Tier 1	RWA_Standardized	RWA_Advanced	Total Assets for Leverage Ratio
81									
82									
83									
84									
85									
86									
87									
88									
89									
90									
91									
92									
93									
94									
95									
96									
97									
98									
99									
100									
Total impact of planned actions					-	-	-	-	-
Reported changes from prior period									

FR Y-14A Schedule D.6 - Planned Actions

Planned Actions						
Action #	Description	Action Type	Exposure Type	RWA Type		
					Total Leverage Exposure for Supplementary Leverage Ratio	Balance Sheet Impact
81						
82						
83						
84						
85						
86						
87						
88						
89						
90						
91						
92						
93						
94						
95						
96						
97						
98						
99						
100						

Total impact of planned actions	-	-
Reported changes from prior period		

FR Y-14A Schedule D.6 - Planned Actions

Planned Actions									
Action #	Description	Action Type	Exposure Type	RWA Type	Total				
					Common Equity Tier 1	Tier 1	RWA_Standardized	RWA_Advanced	Total Assets for Leverage Ratio
81					-	-	-	-	-
82					-	-	-	-	-
83					-	-	-	-	-
84					-	-	-	-	-
85					-	-	-	-	-
86					-	-	-	-	-
87					-	-	-	-	-
88					-	-	-	-	-
89					-	-	-	-	-
90					-	-	-	-	-
91					-	-	-	-	-
92					-	-	-	-	-
93					-	-	-	-	-
94					-	-	-	-	-
95					-	-	-	-	-
96					-	-	-	-	-
97					-	-	-	-	-
98					-	-	-	-	-
99					-	-	-	-	-
100					-	-	-	-	-
Total impact of planned actions					-	-	-	-	-
Reported changes from prior period									

FR Y-14A Schedule D.6 - Planned Actions

Planned Actions							
Action #	Description	Action Type	Exposure Type	RWA Type			Confirm detailed description of action provided in separate attachment
					Total Leverage Exposure for Supplementary Leverage Ratio	Balance Sheet Impact	
81					-	-	
82					-	-	
83					-	-	
84					-	-	
85					-	-	
86					-	-	
87					-	-	
88					-	-	
89					-	-	
90					-	-	
91					-	-	
92					-	-	
93					-	-	
94					-	-	
95					-	-	
96					-	-	
97					-	-	
98					-	-	
99					-	-	
100					-	-	
Total impact of planned actions					-	-	
Reported changes from prior period							